



RO – GWA TAS-BETC

Discussion on Payments interfacing with GWA



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What's coming...

Governmentwide Accounting (GWA) Player Agency Location Codes (ALCs) to report valid GWA component Treasury Account Symbol and Business Event Type Codes (TAS-BETCs) for certified payment schedules in 2009 which will be provided to GWA for accounting classification purposes.



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Today's Objectives:

- Establish common ground with Terminology
- Review current flow
- Describe flow with TAS-BETCs
- Address timeframes
- Touch on details
- Open to questions
- Conclude



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SCHEDULES:

- Schedules except Summary – Regular Check, Manual Check, Automated Clearing House (ACH*), ACH Prenote, and Same Day Pay Schedules where up to 60 ***individual payments and the summary*** information are on the **certified schedule** itself. – Type A
- Summary Schedules – Summary and Summary Prenote Schedules where ***only the summary*** information is on the **certified schedule** itself and the large volume of individual payment information is sent in a bulk file to FMS Regional Financial Centers (RFCs) Payment System/ Payment Automation Manager (PAM) in future. – Type B
- (*ACH and Electronic Funds Transfer (EFT) used interchangeably.)



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PAYMENTS:

- **Payment** – *Individual payment information about the amount, payee* and reporting classification which includes:
- **Check** – Check information includes Payment Amount, Account Symbols (TAS-BETCs in future), Payee ID/TIN, Payee Name, TOP Offset Eligibility, Enclosure Code and other information (destination RFC required for **Manual Check**) as applicable for the ALC and Schedule Number (and Agency Billing Address for Enclosure Code 2).
- **ACH** – ACH information includes Payment Amount, Account Symbols (TAS-BETCs in future), Payee ID/TIN, Depositor Account Number, Routing Transit Number, Payee Name, Account Type and TOP Offset Eligibility, and other information as applicable for the ALC and Schedule Number for the payment type and subtype/addendum code.
- **Same Day Payment (SDP)** – Same Day Payment information includes the Fedwire ABA/RTN, Product Code, Payment Amount, Type Code, Beneficiary Name, TOP Offset Eligibility, Payee ID/TIN, (and TAS/BETCs in the future) as well as other information as applicable for ALC, Schedule Number, Agency Billing Address for payment on the same day. Typically for payments \geq \$100,000.00.



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PAYMENTS:

- **Bulk File Payments** – large volume of Check and/or ACH payment details for the ALC which are transmitted/sent to the RFCs Payment System (PAM in future) which must match the summary information on the Summary Schedule.
- **Summary Schedule (Type B)** – Summary Schedule contains the Payment Date, Summary Type Codes, Sub-Type Codes, Control Number*, Account Symbols (TAS-BETCs in future), amount and other information as applicable for the ALC, Schedule Number, Control Number, Destination RFC, Total Number of Payments and Agency Billing Address. The Summary Schedule is created and certified in SPS.
- (*Note: Control Number is given to FPA from FMS after successful bulk file upload and is cross-referenced on Summary Schedule.)



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SYMBOLS:

- **Current Account Symbol** – The **current Federal Account Symbols** include receipt, appropriation, and other fund Account Symbols and titles assigned by the Department of Treasury. (See Federal Account Symbols and Titles (FAST) Book). (Account Symbol is currently written 7 -16 positions in string format where only those portions that apply are strung together. Optional 2-digit subclass in parenthesis; required 2-digit department regular; optional 2-digit department transfer preceded by a dash; multi year yy/yy, single year yy, or no year "X"; required 4-digit main account, and optional 3-digit sub account code preceded by a period. Example "20X1000") This is to be replaced by the TAS-BETCs in future.



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SYMBOLS:

- **Treasury Account Symbol (TAS)** – The TAS is the unique alphanumeric code assigned by the Department of Treasury to **receipt, appropriation and other fund account symbols in the new GWA format** that must be used in conjunction with the BETC to classify transactions. GWA format requires components, larger size, new names and component order change.
- **Business Event Type Code (BETC)** – up to **8-character code to indicate the type of activity** being reported, such as payments, collections, borrowings etc. This code must accompany the TAS and the dollar amounts in order to classify the transaction against the fund balance with Treasury. BETC in effect replaces the transaction codes and standard sub-classes that are currently used on the central accounting reports, such as those used on the Statements of Transactions (FMS Form 224) and the Undisbursed Ledger and Trial Balance Reports (FMS Forms 6653 and 6654). It does not replace all subclasses. (BETC examples: DISB, etc.)



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Systems:

- **Secure Payment System (SPS)** - provides FMS and Federal Program Agencies (FPAs) with enhanced capabilities for creating, submitting, electronically signing and approving certifications for payment requests.
- **Payment Automation Manager (PAM)** – will be automating the current Payment System for processing and issuing payments.
- **Governmentwide Accounting Modernization Project (GWAMP)** - addresses the central accounting and reporting functions and processes associated with budget execution, accountability, and cash/other asset management. This includes the collection and dissemination of financial management and accounting information from and to FPAs. It also includes the business processes in FMS that are related to ledger accounting for each appropriation, fund and receipt account's Fund Balance with Treasury (FBWT), General Ledger account for the cash and monetary assess of the Government, and the preparation of the Monthly Treasury Statement and Appendix.



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Systems:

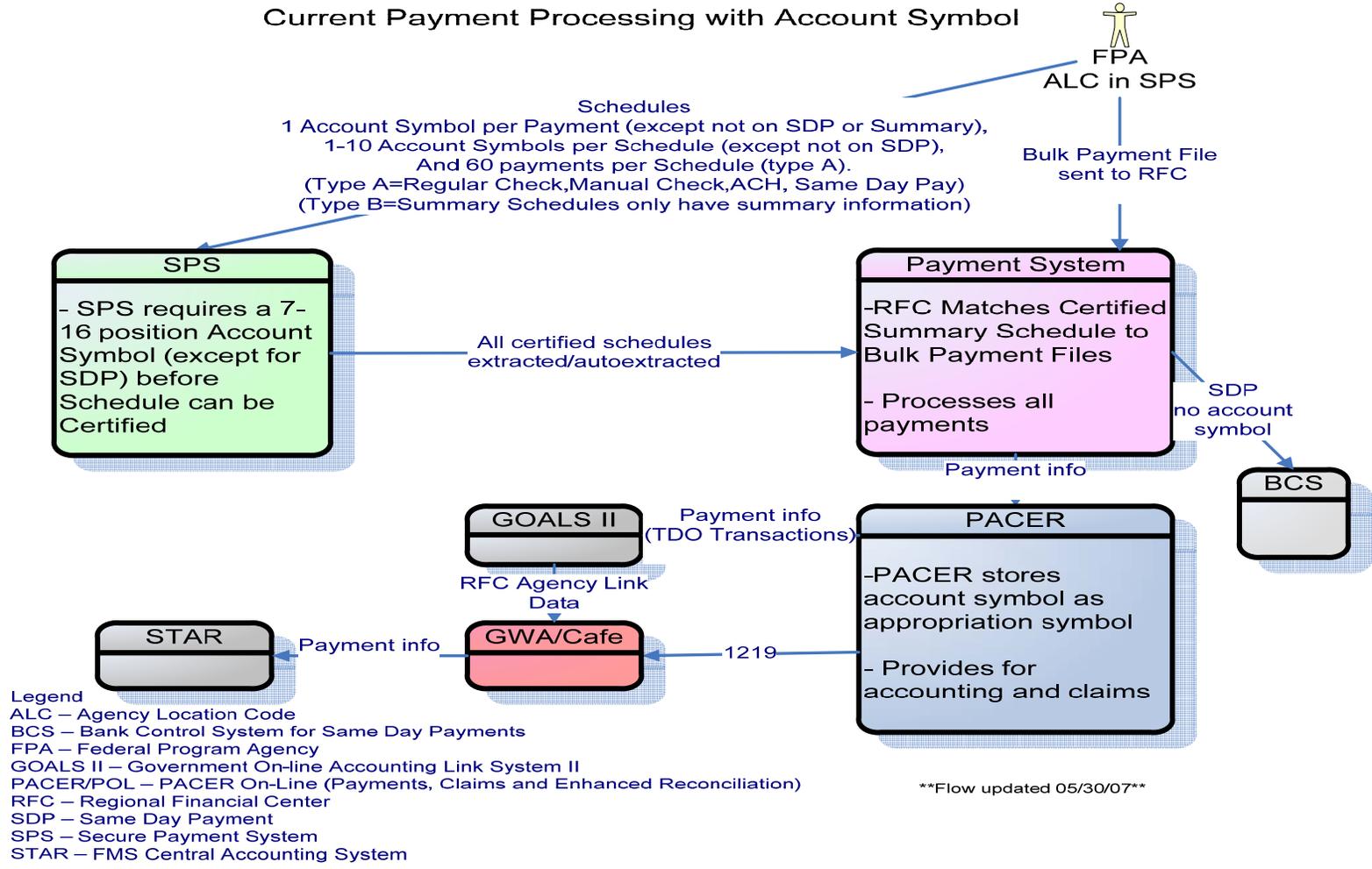
- **Payments, Claims and Enhanced Reconciliation (PACER)/Pacer On-line (POL)** – system of record which processes payments and claims and aftermath activities. PACER is comprised of: (1) the Accounting sub-system which generates and processes financial transactions required to properly document RFC Payment and Collection operations and provides extensive financial data to hundreds of FPAs to support fiscal accountability and federal financial operations; and (2) the Claims sub-system which provides automated capability to process Claims made against all Federal Payments (issued by FMS' RFCs and all other Federal Disbursing Centers).
- **Shared Accounting Module (SAM)** – provides the **ALCs with GWA Player identifier to SPS** to specify who is required to report TAS-BETC. It also provides a list of **valid TAS-BETCs** to SPS and other users.

SAM is a web-based application for classifying FPA collections, disbursements, and intra-governmental transactions to the appropriate TAS-BETC in the financial reporting process. SAM maintains a copy of GWA master data that contains a complete list of all current and valid TAS-BETC values, as well as tables showing the relationships between TASs and BETCs that can be paired in a credit/debit accounting rule. In the SAM application, each FPA will have the ability to create users and establish translation/default rules for FBWT, transfers and disbursements for their respective ALCs. FPAs have the ability to: create and maintain Access Groups and Subgroups based on a group of one or more ALCs within a single department (agency), maintain individual users' capabilities. create and modify default rules which will be used in the event that a TAS-BETC or C-Key is not valid. create and update accounting classification business rules – Cash Flow Profiles and C-Keys, and view Business and Security reports.



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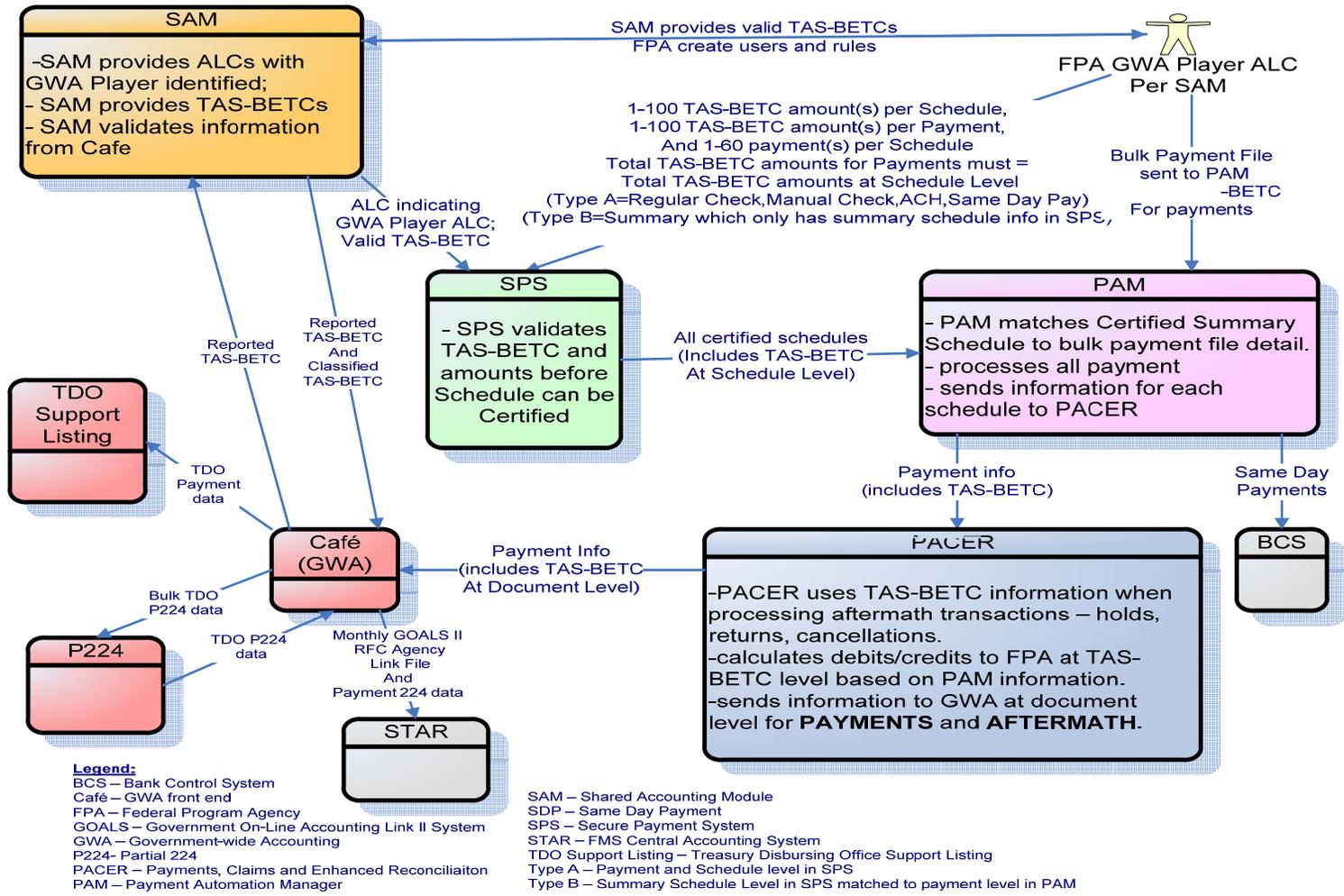
Current Payment Processing with Account Symbol





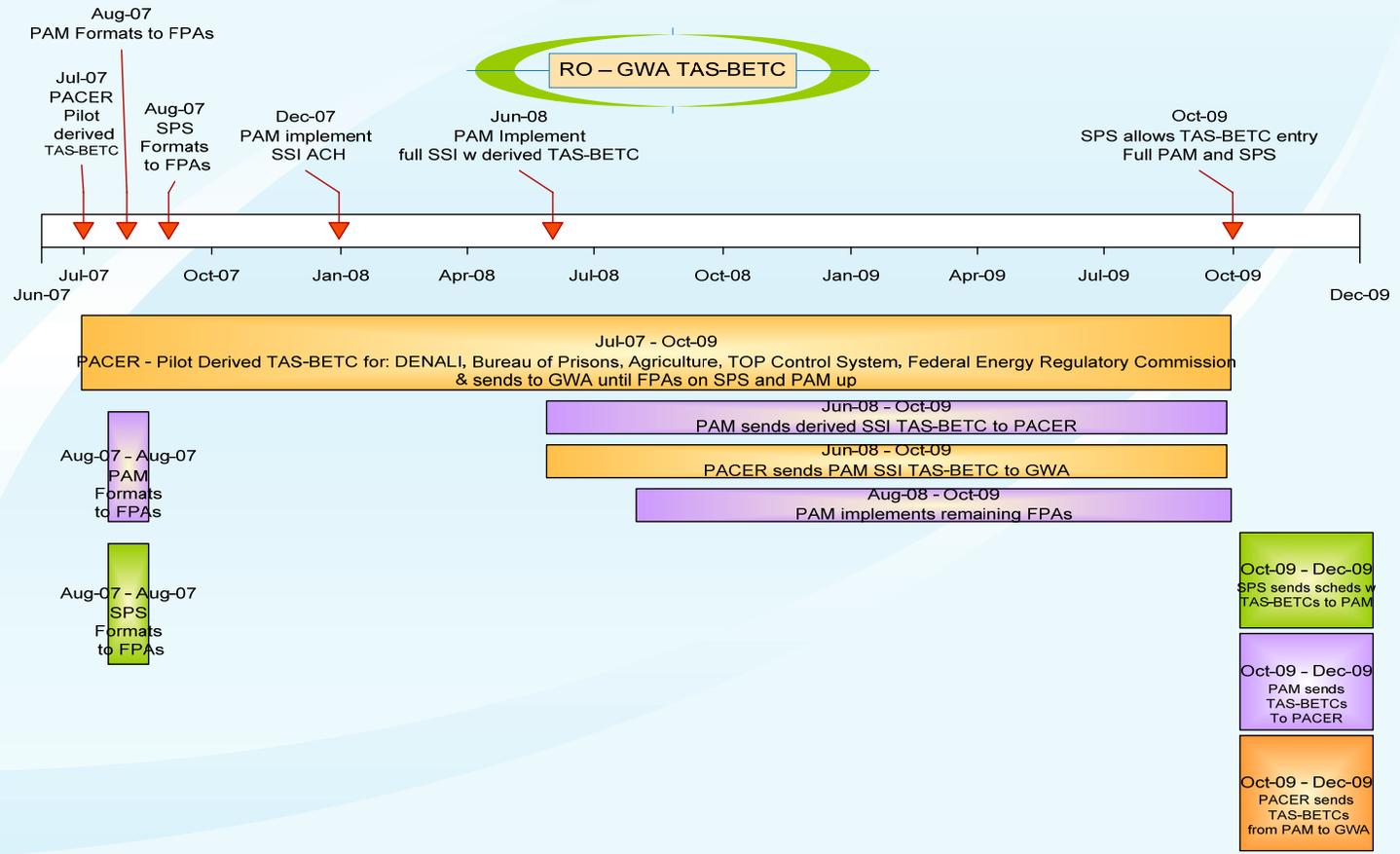
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Payment Processing with GWA TAS-BETC (available 2009 in SPS for GWA Player ALCs in SAM)





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EXAMPLE #1 – Type A Schedules with detail and only 1 TAS-BETC

Schedule Type: (Type A - Regular Check, Manual Check, ACH, SDP)

Total Number of Payments: 4

Total Amount: \$300

ALC: (GWA Player ALC)

Schedule Number: 00001234567891

TAS-BETC Schedule Summary

TAS-BETC #1 \$300

Payment Info	TAS-BETC Amt		Payment Amt
Payment #1		Payee A	\$50
TAS-BETC #1	\$ 50		
Payment #2		Payee B	\$30
TAS-BETC #1	\$ 30		
Payment #3		Payee C	\$120
TAS-BETC #1	\$120		
Payment #4		Payee D	\$100
TAS-BETC #1	\$100		

yellow - Information passed to PAM – PACER - GWA



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EXAMPLE #2 – Type A Schedules with detail and more than 1 TAS-BETC

Schedule Type: (Type A - Regular Check, Manual Check, ACH, SDP)

Total Number of Payments: 4

Total Amount: \$300

ALC: (GWA Player ALC)

Schedule Number: 00001234567892

TAS BETC Schedule Summary

TAS-BETC #1 \$100

TAS-BETC #2 \$200

Payment Info	TAS-BETC Amt		Payment Amt
Payment #1		Payee A	\$50
TAS-BETC #1	\$ 50		
Payment #2		Payee B	\$30
TAS-BETC #1	\$ 30		
Payment #3		Payee C	\$75
TAS-BETC #2	\$ 75		
Payment #4		Payee D	\$145
TAS-BETC #1	\$20		
TAS-BETC #2	\$125		

yellow - Information passed to PAM – PACER - GWA



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EXAMPLE #3 – Type B Summary Schedules with only 1 TAS-BETC

Schedule Type: (Type B - Summary)

Total Number of Payments: 1,000

Total Amount: \$45,000

ALC: (GWA Player ALC)

Schedule Number: 00001234567893

TAS BETC Schedule Summary

TAS-BETC #1 \$45,000

yellow - Information passed to PAM – PACER - GWA



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EXAMPLE #4 – Type B Summary Schedules with more than 1 TAS-BETC

Schedule Type: (Type B - Summary)

Total Number of Payments: 1,000

Total Amount: \$30,000

ALC: (GWA Player ALC)

Schedule Number: 00001234567894

TAS BETC Schedule Summary

TAS-BETC #1 \$20,000

TAS-BETC #2 \$10,000

yellow - Information passed to GWA



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ALCs:

- **Agency Location Code (ALC)** – An ALC is a 3-, 4- or 8-digit symbol uniquely identifying each agency that reports payments and collections. (I TFM Chapter 2000)
- **Treasury Disbursing Office (TDO) ALC** – A TDO ALC is a unique 8-digit ALC used for reporting which is assigned by FMS for an agency accounting station at the request of the agency for whom FMS does their disbursing.
- **GWA Player ALC** – ALC identified by GWA in SAM as required to report TAS-BETC in SPS.



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Methods of Creating Schedules in SPS:

- SPS Offline and Data Entry Operator (DEO) uploads into SPS
- 3rd Party 440 format and DEO upload into SPS
- On-line SPS



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Key Points:

- Certified Amounts at Schedule Level are official Agency Accounting Entries.
- Format for TAS-BETC will be different than current account symbol reporting.
- TAS will be component based.
- TAS and BETC will be validated together.
- Each TAS-BETC has an amount associated. The Total TAS-BETC Amounts for Payments must equal the Total TAS-BETC Amounts at the Schedule Level.
- Same Day Payment Schedules will need TAS-BETC.
- Target date is October 2009 for SPS to be available to receive TAS-BETC for GWA Player ALCs for SPS as identified in SAM.



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- PACER will provide schedule level/document level TAS-BETC information to Café/GWA.
- PACER will be calculating accounting entries for aftermath transactions based on TAS-BETC information provided by PAM. Possible to have one document with a lot of different TAS-BETCs up to 100 (ex. 1098, 215, 5515, etc.).
- Memorandum of Understandings document agreements between FMS and FPAs when applicable (ex. for PAM Bulk Files).
- If many agencies choose to become GWA Player ALCs in SAM for SPS in October 2009, may increase help desk volume of calls.



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Providing Information to FPAs:

- SPS and PAM File formats available August 2007
- Screens to be provided (TBD)
- Forums
- RFC Customer Assistance meetings
- Updates on Websites
- SPS Offline in future
- GWA Training



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What this means to FPAs:

- Develop capability to handle TAS-BETCs and/or work with 3rd party contractors.
- Decide when to convert – can you be ready in October 2009?
- Coordinate with GWA to become GWA Player and cutover to reporting TAS-BETC.
- Work with PAM on bulk files.
- Work with PACER on information for aftermath transactions.
- View valid TAS-BETCs on SAM website.



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SPS Contacts:

- SPS Contact Information
 - Continue to work through RFC Customer Assistance Branch Contacts.



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GWA Player ALCs, as provided by SAM to SPS, shall

Enter a **minimum of 1 schedule level** valid TAS-BETC per schedule for **all schedules** in SPS including Same Day Payment;

Enter a **maximum of 100 schedule level** valid TAS-BETCs per schedule for **all schedules** in SPS including Same Day Payment;

Enter at least **1** valid TAS-BETC **per payment** for Regular Check, Manual Check, ACH and Same Day Payment in SPS;

Enter a **maximum of 100** valid **TAS-BETCs per payment** for Regular Check, Manual Check, ACH and Same Day Payment in SPS;

Ensure all TAS-BETC information at **schedule level agrees with payment level** and is valid.

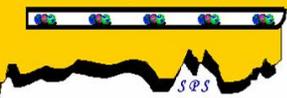
Ensure valid TAS-BETC information provided for **Bulk Payment Files** sent to PAM **agrees with** valid TAS-BETC information provided on **Summary Schedules** in SPS;

Continue entering TAS-BETCs once start, **cannot go back to non-GWA Player ALC**;

Ensure once identified as GWA Player ALC in SAM, **ready to report valid TAS-BETCs** (there is no on-line test period).



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BETCs for TDO Payments per GWA:

- DISB - Gross Disbursements
- DISBAJ - Adjustment to Gross Disbursements
- DISBCA – Payment for Obligations of Closed Accounts
- DISBACAAJ – Adjustments to Payments for Obligations of Closed Accounts
- INVNFDAJ – Adjustment to Investment in Non-Federal Securities
- INVNFED – Investment in Non-Federal Securities
- INVNFS – Investment in Non-Federal Securities (Market Value)
- INVNFSAJ – Adjustment to Investment in Non-Federal Securities (Market Value)
- REFTAXD – Refund of Taxes, Debit
- REFTXDAJ – Adjustment to Refund of Taxes



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GWA Component –based Treasury Account Symbol (TAS) as of 06/06/07				
Component TAS Field Name (Abbreviation)	Size	Format	Component TAS Description	Component TAS Format/Validations
Sub-level Prefix (SP)	2	CC	The Sub-level Prefix (if populated) is a programmatic breakdown of the account for Treasury publication purposes.	If Sub-level Prefix does not apply, it shall be blank/spaces/empty. If Sub-level Prefix applies, it shall be 00-99.
Allocation Transfer Agency Identifier (ATA)	3	CCC	The Agency Identifier of the agency receiving funds through an allocation transfer.	If Allocation Transfer Agency Identifier does not apply, it shall be blank/spaces/empty. If Allocation Transfer Agency Identifier does apply, it shall be 000-999. Current 2 Allocation Transfer Agency Identifier shall be right justified and zero filled. (ex. Allocation Transfer Agency Identifier 75 = 075)
Agency Identifier (AID)	3	CCC	Agency Identifier of account owner. Used in conjunction with the main account code, the Agency Identifier represents the department, agency or establishment of the U.S. Government that is responsible for the TAS.	Value Required. Shall be 000-999. Current 2 digit Agency Identifier will be right justified and zero filled. (ex. Agency Identifier 20 = 020)
Beginning Period of Availability (BPOA)	4	CCCC	In annual and multi-year funds, identifies the first year of availability under law that an appropriation account may incur new obligations.	4 digit year shall be (0000-9999). If single/annual year account, it shall be the same value as the Ending Period of Availability. Value not applicable for no-year "X" accounts, clearing/suspense "F" accounts, merged surplus "M" accounts, central summary general ledger "A" accounts, and unavailable receipt accounts, it shall be blank/spaces/empty.
Ending Period of Availability (EPOA)	4	CCCC	In annual and multi-year funds, identifies the last year of funds availability under law that an appropriation account may incur new obligations.	4 digit year shall be (0000-9999). Value not applicable for no-year "X" accounts, clearing/suspense "F" accounts, merged surplus "M" accounts, central summary general ledger "A" accounts, and unavailable receipt accounts, it shall be blank/spaces/empty.
Availability Indicator (A)	1	C	Identifies no-year accounts "X", clearing/suspense accounts "F", Treasury's central summary general ledger accounts "A", and merged-surplus accounts "M".	Value not applicable for single/annual year accounts, multiyear accounts, or unavailable receipt accounts – it shall be blank/space/empty.
Main Account Code (MAIN)	4	CCCC	Identifies the type and purpose of the fund.	Value Required. Shall be "0000-9999".
Sub-Account Code (SUB)	3	CCC	Identifies an available receipt or other Treasury-defined subdivision of the main account.	Value Required. Shall be "000-999".

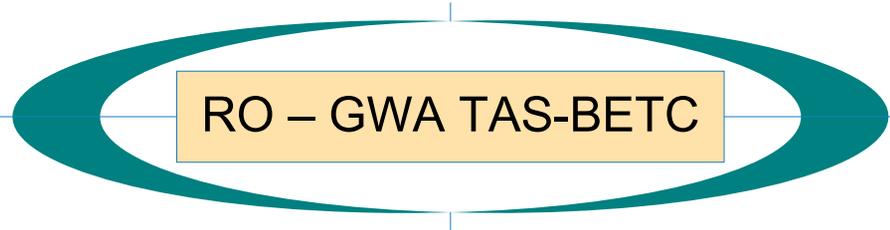


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- Non-GWA Player ALCs, will report current way
 - Account symbol may be up to 16 positions
 - 1 account symbol per payment
 - 1-10 account symbols per schedule
 - Not report Account Symbol on Same Day Payment Schedules
 - Summary Schedules only have summary information



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Questions?



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Conclusion:

- RO ready for GWA Player ALCs to report valid GWA component TAS-BETCs for certified payment schedules in 2009 which will be provided to GWA for accounting classification purposes.
- Plan now.