

QUARTERLY FILING CHECKLIST CERTIFIED COMPANIES

**THE FOLLOWING CHECKLIST IS PROVIDED TO AID YOUR COMPANY IN
SUBMITTING A QUARTERLY FILING TO THIS DEPARTMENT (COPY OF THIS
CHECKLIST IS TO BE SUBMITTED WITH FILING)**

REPORTING COMPANY NAME: _____

CONTACT PERSON NAME AND TITLE: _____

CONTACT PERSON PHONE NO. AND E-MAIL ADDRESS: _____

ITEMS TO BE SUBMITTED	<u>SUBMITTED</u>	
	YES	NO
1. Quarterly Financial Statement of Reporting Company a. Quarterly Financial Statement b. NAIC CD of Reporting Company		
2a. Schedule of Excess Risks (form provided on-line)*		
c. Reinsurance Agreement Forms for All Bonds Written with U.S. Government the Obligee (SF 273, 274, 275) (forms provided on-line)*		
d. Powers of Attorney for all Attorneys-In Fact on Reins. Agrmt. Forms		
3. Updated Biographical Affidavits of New Officers and Directors (use NAIC format)		
4. Documents Describing Changes in the Company (i.e., name change, Ownership change, change in state of incorporation, change in CPA audit firms, etc.....)		
5. Copies of Changes to Charter or Articles of Incorporation or Bylaws Which Occurred During the Quarter		
6. Report of Federal Business Written/or Assumed and Outstanding (form provided on-line)*		

***ALL OF OUR FORMS ARE AVAILABLE ON-LINE AT <http://www.fms.treas.gov/c570>**